

ACORD™ CRIME SECTION

DATE (MM/DD/YYYY)

AGENCY: _____ PHONE (A/C, No, Ext): _____	APPLICANT (First Named Insured) EFFECTIVE DATE: _____ EXPIRATION DATE: _____ DIRECT BILL: _____ PAYMENT PLAN: _____ AUDIT: _____ AGENCY BILL: _____
CODE: _____ SUB CODE: _____ AGENCY CUSTOMER ID: _____	FOR COMPANY USE ONLY BASIS FOR COVERAGE: <input type="checkbox"/> DISCOVERY <input type="checkbox"/> LOSS SUSTAINED

PLAN 1

FORM LTR	FORM TITLE	LIMIT	DEDUCTIBLE	FORM LTR	FORM TITLE	LIMIT	DEDUCTIBLE	
A	EMPLOYEE DISHONESTY			E	PREMISES BURGLARY	\$		
	<input type="checkbox"/> BLANKET <input type="checkbox"/> SCHEDULE	\$			F	COMPUTER FRAUD	\$	
	ERISA				G	EXTORTION		
TOTAL ASSET VALUE \$ _____	\$		(Ins Loss Participation _____ %)	\$				
B	FORGERY OR ALTERATION	\$		H	PREMISES THEFT & ROBBERY OUTSIDE			
C	THEFT, DISAPPEARANCE & DESTRUCTION				SEC 1 - THEFT	\$		
	SEC 1 - INSIDE THE PREMISES	\$			SEC 2 - ROBBERY OUTSIDE	\$		
	SEC 2 - OUTSIDE THE PREMISES	\$		<input type="checkbox"/> BLANKET <input type="checkbox"/> SCHEDULE				
D	ROBBERY & SAFE BURGLARY	\$		Q	ROBBERY & SAFE BURGLARY			
	SEC 1 - INSIDE: ROBBERY OF CUSTODIANS SAFE BURGLARY	\$			MONEY & SECURITIES	\$		
	SEC 2 - OUTSIDE THE PREMISES	\$			SEC 1 - INSIDE THE PREMISES	\$		
	<input type="checkbox"/> BLANKET <input type="checkbox"/> SCHEDULE			SEC 2 - OUTSIDE THE PREMISES	\$			
				<input type="checkbox"/> BLANKET <input type="checkbox"/> SCHEDULE				

COVERAGE AMENDMENTS (Endorsements)

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ERISA EMPLOYEE DISHONESTY - ADDITIONAL INFORMATION (Coverage Form A)

NAME OF PLAN	PRINCIPAL ADDRESS	NUMBER OF TRUSTEES, EMPLOYEES, ETC HANDLING PLAN ASSETS	NUMBER OF PLAN PARTICIPANTS
IS THERE A LICENSED SECURITIES FIRM RESPONSIBLE FOR INVESTING OF FUNDS UNDER PLAN(S)?		YES	NO

CLASSIFICATION OF EMPLOYEES/LOCATIONS (Coverage Forms A & B)

LIST ALL OFFICERS AND EMPLOYEES (Including those construed to be employees by endorsement), OTHER THAN AGENTS AND PARTNERS, WHO HANDLE OR HAVE CUSTODY OF MONEY, SECURITIES OR OTHER PROPERTY, INCLUDING, IN ANY EVENT, THE POSITIONS LISTED BELOW:

NUMBER OF:	NUMBER OF:	NUMBER OF:	NUMBER OF:
___ ACCOUNTANTS AND ASSTS	___ COLLECTORS	___ LOCKER ROOM ATTENDANTS	___ STOCK CLERKS
___ ADJUSTERS	___ COMPUTER PROGRAMMERS	___ MAITRE D'S AND ASSTS	___ STOREKEEPERS
___ ADMINISTRATORS AND ASSTS	___ COMPTROLLERS AND ASSTS	___ MANAGERS AND ASSTS	___ STOREROOM PERSONNEL
___ APPRAISERS AND CLERKS ACTING AS APPRAISERS	___ CREDIT CLERKS AND MANAGERS	___ MEDICAL DIRECTORS	___ SUPERINTENDENTS AND ASSTS
___ ATTORNEYS	___ CUSTODIANS	___ MESSENGERS, OUTSIDE	___ SUPERVISORS AND ASSTS
___ AUDITORS AND ASSTS	___ DELIVERY PERSONS	___ PAYROLL DISTRIBUTORS	___ TAXI DRIVERS
___ BOOKKEEPERS	___ DEMONSTRATORS	___ PURCHASING AGENTS AND ASSTS	___ TEACHERS HAVING CUSTODY OF MONEY OR SECURITIES
___ BUS DRIVERS	___ DIETITIANS WHO ORDER FOOD	___ RECEIVING CLERKS	___ TIMEKEEPERS AND ASSTS
___ BUYERS AND ASSTS	___ DRIVERS AND DRIVERS' HELPERS	___ REFINERY GAUGERS OF OIL COMPANIES HANDLING REFINED GASOLINE AND OILS	___ TRUCK DRIVERS
___ CANVASSERS (Door-to-door salespeople)	___ FOOD INSPECTORS	___ SALESPeOPLE	___ WAREHOUSE PERSONNEL
___ CASHIERS AND ASSTS	___ HEAD PHARMACISTS	___ SECURITY PERSONNEL	___ WINE CELLAR PERSONNEL
___ CHAIRPERSONS	___ INSTRUCTORS HAVING CUSTODY OF MONEY OR SECURITIES	___ SERVICE STATION ATTENDANTS	___ WINE STEWARDS/ESSES
___ CHEFS WHO ORDER FOOD	___ JANITORS	___ SHIPPING CLERKS	___ ALL OTHER OFFICERS AND EMPLOYEES NOT LISTED ABOVE
NUMBER OF OFFICERS:	TOTAL NUMBER OF OTHER EMPLOYEES:	MANUFACTURERS, PROCESSORS, WHOLESALERS OR DISTRIBUTORS; NUMBER OF RETAIL LOCATIONS:	ALL OTHER CLASSES; NUMBER OF LOCATIONS OTHER THAN HOME OR HEAD OFFICES:

CONTROLS (Coverage Form A)

A U D I T	1. IS THERE AN AUDIT BY? <input type="checkbox"/> CPA <input type="checkbox"/> PUBLIC ACCOUNTANT	B A N K I N G / O T H E R	5. ARE BANK ACCOUNTS RECONCILED BY SOMEONE NOT AUTHORIZED TO DEPOSIT OR WITHDRAW? <input type="checkbox"/> YES <input type="checkbox"/> NO
	<input type="checkbox"/> STAFF <input type="checkbox"/> OTHER:		6. IS COUNTERSIGNATURE OF CHECKS REQUIRED? IF NOT, WHO SIGNS CONTROLS? <input type="checkbox"/>
	2. AUDIT FREQUENCY? <input type="checkbox"/> ANNUAL <input type="checkbox"/> SEMI-ANNUAL		7. WILL SECURITIES BE SUBJECT TO JOINT CONTROL OF TWO OR MORE RESPONSIBLE EMPLOYEES? <input type="checkbox"/>
	<input type="checkbox"/> QUARTERLY <input type="checkbox"/> OTHER:		8. ARE ALL OFFICERS AND EMPLOYEES REQUIRED TO TAKE ANNUAL VACATIONS OF AT LEAST FIVE CONSECUTIVE BUSINESS DAYS? <input type="checkbox"/>
3. DOES AUDIT INCLUDE INVENTORY? <input type="checkbox"/> YES <input type="checkbox"/> NO			
4. AUDIT REPORT IS RENDERED TO: <input type="checkbox"/> OWNER <input type="checkbox"/> PARTNERS			
<input type="checkbox"/> BOARD OF DIRECTORS <input type="checkbox"/> OTHER:			

MONEY - SECURITIES (Coverages Forms C or Q - Blanket Coverage, By Locations)

ENTER THE EXPOSURES FOR EACH CATEGORY. AMOUNTS ENTERED SHOULD BE MAXIMUM EXPOSURE.

TYPE	MONEY	CHECKS FOR DEPOSIT	CHECKS FOR ACCOUNTS PAYABLE	PAYROLL CHECKS	MONEY OVERNIGHT	SECURITIES (IN BANK/SAFE DEPOSIT)
INSIDE	\$	\$	\$	\$	\$	\$
MESSENGER #1	\$	\$	\$	\$	\$	
MESSENGER #2	\$	\$	\$	\$	\$	

PROPERTY (Coverage Forms D, E, & H)

DESCRIPTION OF PROPERTY, MERCHANDISE, STOCK, ETC	MAXIMUM VALUE

GENERAL INFORMATION (All Coverage Forms Except A & B)

BUSINESS HOURS	AVG # EMPLOYEES ON DUTY	CHECKS STAMPED FOR DEPOSIT ONLY	FREQUENCY OF DEPOSITS	NIGHT DEPOSITORY USED	ANNUAL GROSS SALES OR RECEIPTS FOR LAST FISCAL YEAR	DOES PREMISES HAVE DOUBLE CYLINDER DOOR LOCKS? YES NO		OTHER INFORMATION

SAFE/VAULT (Coverage Forms C, D & Q)

MANUFACTURER	LABEL	CLASS	DOOR TYPE		COMBINATION LOCKS			THICKNESS DOOR (EXCL BOLTWORK)	WALL
			ROUND	SQUARE	OUTER	INNER	CHEST		
	UL								
	SMNA								
	UL								
	SMNA								

MESSENGER PROTECTION (Coverage Forms C, D & Q)

MESS'GR #	# OF GUARDS PER MESSENGER	PRIVATE CONVEYANCE USED?	SAFETY SATCHEL USED?	MESS'GR #	# OF GUARDS PER MESSENGER	PRIVATE CONVEYANCE USED?	SAFETY SATCHEL USED?
		<input type="checkbox"/> YES <input type="checkbox"/> NO	<input type="checkbox"/> YES <input type="checkbox"/> NO			<input type="checkbox"/> YES <input type="checkbox"/> NO	<input type="checkbox"/> YES <input type="checkbox"/> NO

PREMISES/SAFE PROTECTION (Coverage Forms C, D, E & H)

ALARM TYPE	ALARM DESCRIPTION	GRADE	EXTENT OF PROTECTION			ALARM INSTALLED AND SERVICED BY	# GUARDS	WATCHPERSONS
			SAFE/VAULT	PREMISES				
<input type="checkbox"/> HOLD-UP	<input type="checkbox"/> LOCAL GONG		<input type="checkbox"/> PARTIAL	1	2	3		RPT/CENT ST
<input type="checkbox"/> PREMISES	<input type="checkbox"/> CENTRAL STATION		<input type="checkbox"/> COMPLETE				# WATCH PERSONS	CLOCK HRLY
<input type="checkbox"/> SAFE	<input type="checkbox"/> POLICE CONNECT							DON'T SIGNAL
	<input type="checkbox"/> WITH KEYS		ACCESSIBLE OPENINGS & PROTECTION			OTHER PROTECTION (Fences, Floodlights, etc)		
CERTIFICATE NUMBER								
EXPIRATION DATE:								

AUDIT PROCEDURES - SAA COMMERCIAL CRIME POLICY

1. AUDIT BY CPA, PUBLIC ACCOUNTANT OR EQUIVALENT, INDEPENDENT OF YOUR ORGANIZATION? <input type="checkbox"/> QUARTERLY <input type="checkbox"/> SEMI-ANNUALLY <input type="checkbox"/> ANNUALLY <input type="checkbox"/> NONE	YES	NO	5. IS THE AUDIT REPORT RENDERED DIRECTLY TO THE PROPRIETOR, PARTNERS IF A PARTNERSHIP OR BOARD OF DIRECTORS IF A CORPORATION?	YES	NO
2. NAME AND ADDRESS OF PERSON OR FIRM PERFORMING AUDIT			6. DATE OF COMPLETION OF LAST AUDIT OF: CASH & ACCOUNTS _____ INVENTORY _____		
3. ALL LOCATIONS AUDITED?			7. WERE ANY DISCREPANCIES OR LOOSE PRACTICES COMMENTED UPON IN THIS AUDIT? IF "YES", SUBMIT A COPY OF THE AUDIT AND AUDITOR'S COMMENTS.		
4. IS AUDIT MADE IN ACCORDANCE WITH GENERALLY ACCEPTED AUDITING STANDARDS AND SO CERTIFIED? IF NO, EXPLAIN SCOPE OF AUDIT.			8. IS THERE AN INTERNAL AUDIT BY AN INTERNAL AUDIT DEPARTMENT UNDER THE CONTROL OF AN EMPLOYEE WHO IS A PUBLIC ACCOUNTANT OR EQUIVALENT. IF "YES", ARE THE REPORTS RENDERED DIRECTLY TO THE PROPRIETOR, PARTNERS IF A PARTNERSHIP OR BOARD OF DIRECTORS IF A CORPORATION?		

INTERNAL CONTROLS OTHER THAN AUDIT PROCEDURES - SAA COMMERCIAL

EXPLAIN ALL "NO" RESPONSES IN REMARKS	YES	NO	EXPLAIN ALL "NO" RESPONSES IN REMARKS	YES	NO
1. ARE BANK ACCOUNTS RECONCILED BY SOMEONE NOT AUTHORIZED TO DEPOSIT OR WITHDRAW?			3. ARE SECURITIES SUBJECT TO JOINT CONTROL OF TWO OR MORE RESPONSIBLE EMPLOYEES?		
2. IS COUNTERSIGNATURE OF CHECKS REQUIRED?					

REMARKS

ANY PERSON WHO KNOWINGLY AND WITH INTENT TO DEFRAUD ANY INSURANCE COMPANY OR ANOTHER PERSON FILES AN APPLICATION FOR INSURANCE OR STATEMENT OF CLAIM CONTAINING ANY MATERIALLY FALSE INFORMATION, OR CONCEALS FOR THE PURPOSE OF MISLEADING INFORMATION CONCERNING ANY FACT MATERIAL THERETO, COMMITS A FRAUDULENT INSURANCE ACT, WHICH IS A CRIME AND SUBJECTS THE PERSON TO CRIMINAL AND [NY:SUBSTANTIAL] CIVIL PENALTIES. (Not applicable in CO, HI, NE, OH, OK, OR or VT; in DC, LA, ME, TN and VA, insurance benefits may also be denied).